GP 3758

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

.Applicant : Carlyle et al.

Serial No.: 09/186,810

Filed: November 5, 1998

For : PROSTHESIS WITH ASSOCIATED

GROWTH FACTORS

Docket No.: S16.12-0052

#4ID\$5072000

Group Art Unit: 3738

Examiner:

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

I HEREBY CERTIFY THAT HIS PAPER IST
BEING SENT BY U.S. MAIL EIRST—
CLASS, TO THE ASSISTANT
COMMISSIONER FOR PATENTS.
WASHINGTON, D.C. 20231 THIS

2 TDAY OF OTOLEN, 1999

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR § 1.97. Copies of the patents or publications cited are enclosed.

Submitted herewith is a copy (with English translation as appropriate) of an Official Search Report of the <u>European</u> Patent Office in counterpart foreign application No. <u>PCT/US 99/01319</u> filed January 21, 1999.

TIME OF FILING

The information disclosure statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 CFR § 1.97(b), no certification or fee is required.

OR

2. ____ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37CFR § 1.113 or notice of allowance under 37 CFR § 1.31. . . -2-

Therefore, in accordance with 37 CFR § 1.97(c), submitted herewith is:

- A. __ a certification as specified in 37 CFR § 1.97(e).
- B. ___ the fee set forth in 37 CFR § 1.17(p) for submission of an information disclosure statement under 37 CFR § 1.97(c).
- 3. ____ after the mailing date of either a final action under 37 CFR § 1.113 or a notice of allowance under 37 CFR § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant submits herewith:
 - A. a certification as specified in 37 CFR § 1.97(e);
 - B. the petition fee set forth in 37 CFR § 1.17(i); and
 - C. the accompanying petition under 37 CFR § 1.97(d).

METHOD OF PAYMENT

_X No fee is required.
____ Attached is a check in the amount of \$___.

The Commissioner is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

Peter S. Dardi, Ph.D., Reg. No. 39,650

Suite 1600 - International Centre

900 Second Avenue South

Minneapolis, Minnesota 55402-3319

Phone: (612) 334-3222 Fax: (612) 334-3312

PSD:nw